

STATE OF COLORADO
Department of State

1700 Broadway, Suite 270
Denver, CO 80290



Mike Coffman
Secretary of State

Holly Lowder
Director, Elections

Steve Pearson
Election Systems and Software, Inc.
11208 John Galt Blvd.
Omaha, NE 68137

April 7, 2007

Re: Application for Certification of Voting System

Mr. Pearson,

This letter is to inform you that the Colorado Department of State has received your application for certification of the ES&S Voting System Unity Version 3.0.1.1 which includes the following components:

- **Audit Manager 7.3.0.0**
- **Election Data Manager 7.4.4.0**
- **ES&S Image Manager 7.4.2.0**
- **iVotronic Image Manager 2.0.1.0**
- **Optech Image Manager 4.0.0.0**
- **Hardware Programming Manager 5.2.4.0**
- **Data Acquisition Manager 6.0.0.0**
- **Election Reporting Manager 7.1.2.1**
- **AutoMark AIMS 1.2.18**
- **iVotronic DRE 9.1.6.2**
- **Model 100 OS 5.2.1.0**
- **Model 650 2.1.0.0 (Green Light only)**
- **AutoMark VAT 1.1 / 1.1.2258**
- **Real Time Audit Log Printer (V-VPAT)**

The following items are missing or were unable to be located in the documentation submitted with your application pursuant to requirements identified in Secretary of State Rule 45:

45.4.2; 45.4.9	Documentation	Documentation of Schematics have not been included.
45.4.2; 45.5.2.3.13	Documentation	Documentation of the nature and sensitivity of tactile devices for touch screens and their ability to display and select offices, candidates or issues.
45.4.2; 45.4.6	Documentation	Documentation of the instructions for using and installing the trusted build.
45.4.2; 45.5.2.4.4(a)	Documentation	Documentation of the projected plan for compliance with the EAC 2005 VVSG requirements.
45.4.2; 45.5.2.6.1(d)(iv)	Documentation	Provide documentation of the minimum services and executables necessary for the operation of the voting system.
45.4.2; 45.5.2.6.1(c)(vii)	Documentation	The documentation shall include verification of all cryptography sections to meeting FIPS 140-2 standards.
45.4.2; 45.5.2.8.2	Documentation	Documentation of the accessibility requirements identified under subsections of 45.5.2.8.2.

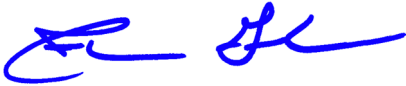
Your application will be advanced to Phase II – the evaluation of the documentation, but will not be able to proceed to Phase III until the above items are addressed. We will begin to evaluate your application based on the steps identified for Phase II, and anticipate the completion of this process on or around April 26, 2007. As previously discussed, we are currently in-line with the proposed schedule for your Phase III functional testing to begin on May 1st, 2007 assuming no additional delays through our Phase II review of

your documentation. If you are experiencing any scheduling problems with this date, please let our office know as soon as possible.

Your application has been assigned the following number: **2007-CDOS-ESS-001-0403**. Please refer to this number for all future correspondence.

Please contact me directly if you have any questions during the certification process.

Sincerely,

A handwritten signature in blue ink, appearing to read "John Gardner", with a stylized flourish at the end.

John Gardner
Voting Systems Specialist
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Denver, CO 80290
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